

APW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

BART MUNRO, ET AL.

Application No.: 10/606,410

Filed: June 25, 2003

For: **METHOD TO FACILITATE A SEARCH
OF A DATABASE UTILIZING
MULTIPLE SEARCH CRITERIA**

Art Group: 3624

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated November 26, 2003 (copy enclosed herewith) in a counterpart PCT application, which was forwarded to Applicant's Representative in a communication dated November 26, 2003.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 7-1-04

Nathan P. Elder
Nathan P. Elder, Reg. No. 55,150

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

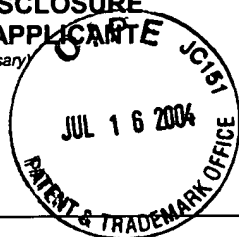
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 1 of 4

Complete if Known

Application Number	10/606,410
Filing Date	June 25, 2003
First Named Inventor	Munro, Bart
Group Art Unit	3624
Examiner Name	Unknown

Attorney Docket No: 3801P097

FR-2658635	02/06/1991	Mourad, Assabgui			
JP-02207898	07/26/2002	Yamamoto, Hiroshi			
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Andale Lister, http://www.andale.com/corp/products/sell.jsp .", (12/18/02),1-3	
		"Andale Lister: Bulk List, http://www.andale.com/corp/products/qs_sell_details_bulklist.jsp .", (12/18/02),p 1	
		"Andale Lister: In-line Images, http://www.andale.com/corp/products/qs_sell_details_inlineimg.jsp .", (12/18/02),1-2	
		"Andale Lister: Online or Offline, http://www.andale.com/corp/products/qs_sell_details_onoffline.jsp .", (12/18/02),1-2	
		"Andale Lister: Design Center, http://www.andale.com/corp/products/qs_sell_details_designcenter.jsp .", (12/18/02),1-2	
		"Andale Lister: Inventory Dashboard, http://www.andale.com/corp/products/qs_sell_details_invdashboard.jsp .", (12/18/02),1-2	
		"Andale Lister: Launch Scheduler, http://www.andale.com/corp.products/qs_sell_details_launchsched.jsp .", (12/18/02),1-2	
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		"International Search Report", PCT/US00/17136, (6/21/00),	
		"International Search Report", PCT/US01/23854, (7/24/01),	
		"International Search Report", PCT/US02/04692, (2/11/02),	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached

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Sheet 2 of 4

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		"International Search Report", PCT/US99/18510, (8/12/99),	
		"International Search Report", PCT/US01/12398, (4/16/01),	
		"International Search Report", PCT/US01/04811, (2/14/01),	
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		"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin, Vol. 38, No. 1.</u> , (1/95),83-84	
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		CLEMONS, E , "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems, Vol 12.</u> , (1991),53-61	
		GRAHAM, I , "The Emergence of Linked Fish Market in Europe", <u>Focus Theme, No Date Given.</u>	
		HESS, C M , et al., "Computerized Loan Organization System: An Industry Case	

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Sheet 3 of 4

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , Vol. 18, No. 3., (9/94),251-274	
		KLEIN, S , "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given.,	
		LEE, H G , "AUCNET: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given.,	
		LEE, HO G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , Vol. IV., (1996),397-406	
		MALONE, T W , et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , Vol. 30, No. 6., (6/87),484-497	
		MARDESICH, J , "Onsale Takes Auction Gavel Electronic", <u>Computer Reseller News</u> , Page 2 continued on Page 32., (7/8/96),	
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		RECK, MARTIN , "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given.,	
		RESNICK, PAUL , et al., "Reputation Systems", <u>Association for Computing Machinery, Communications of The ACM</u> , Vol 43, No. 12, (Dialog file), 45-48	
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		SCHMID, B F , "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , No. 9/10., (10/93),	
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		TURBAN, E , "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme, No Date Given.</u>	
		VAN HECK, E , et al., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme, No Date Given.</u>	
		WARBELOW, A , et al., "Aucnet: TV Auction Network System", <u>Havard Business School Case/Study, HBVR #9-190-001, USA, (7/89), 1-15</u>	
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EXAMINER**DATE CONSIDERED**

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	10/606,410
Filing Date	June 25, 2003
First Named Inventor	Bart Munro
Art Unit	3624
Examiner Name	Unknown
Attorney Docket Number	3801P097

Total Number of Pages in This Submission 9

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☒ PTO/SB/08
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Basic Filing Fee
- ☐ Declaration/POA
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Sixty-five (65) References Cited; Eighty-two (82) References Electronically Cited on 7/2/04; Postcard.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Nathan P. Elder, Reg. No. 55,150
	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Nathan P. Elder</i>
Date	7-1-04

CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Geneva Walls		
Signature	<i>Geneva Walls</i>	Date	7/2/04



FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

Application Number	10/606,410
Filing Date	June 25, 2003
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Examiner Name	Unknown
Art Unit	3624
Attorney Docket No.	3801P097

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None
☒ Deposit Account

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	

2. EXTRA CLAIM FEES

Total Claims - 20* = X =
Independent Claims - 3 = X =
Multiple Dependent =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	

*or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1480	130	2480	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3)				(\$)	

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)

Nathan P. Elder

Registration No.
(Attorney/Agent)

55,150

Signature

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Date

7-1-04